

# SCATTERING PARAMETERS OF OPTICAL FILTERS ON WHISPERING GALLERY MODE MICRORESONATORS FOR INTERLEAVERS BUILDING

**Trubin A. A.**

*National Technical University of Ukraine "Kyiv Polytechnic Institute"*  
*E-mail: atrubin9@gmail.com*

## ПАРАМЕТРИ РОЗСІЮВАННЯ ОПТИЧНИХ ФІЛЬТРІВ НА МІКРОРЕЗОНАТОРАХ З КОЛІВАННЯМИ ШЕПОЧУЧЕЙ ГАЛЕРЕЇ ДЛЯ ПОБУДОВИ ІНТЕРЛІВЕРІВ

Вперше розглядаються характеристики розсіювання відомих оптичних фільтрів, побудованих на Діелектричних Резонаторах (ДР) з коливаннями шепочучей галереї з урахуванням вищих та нижчих смуг частот, обумовлених іншими коливаннями резонаторів.

Досліджуються вплив різних коливань резонаторів на параметри розсіювання фільтрів з багато смуговими характеристиками. Отримані закономірності добре узгоджуються з результатами інших публікацій, отриманих на основі застосування чисельних методів та експериментальних досліджень. Представлені методики є основою для моделювання і побудови широкого класу інтерліверів сучасних систем оптичного зв'язку.

Dielectric microresonators with whispering gallery modes (WGM) have a quasi-periodic frequency spectrum, which makes them convenient for use in interleavers optical wavelength ranges. [1 - 15].

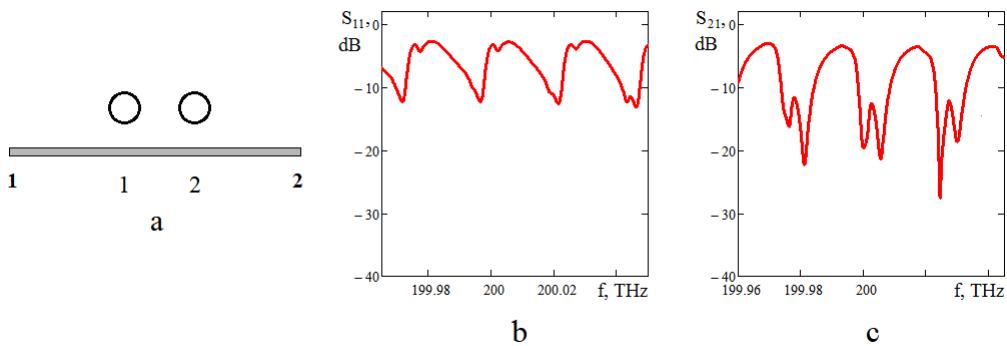


Fig. 1. Scattering characteristics (b - e) of two DR in a transmission line (a) calculated for seven oscillations of degenerate WGM:  $f_0 = 200$  THz;  $FSR = 25$  GHz; DR coupling coefficients with a transmission line: for even mode:  $\tilde{k}_s^e = 3 \cdot 10^{-5}$ ; for odd mode:  $\tilde{k}_s^o = 5 \cdot 10^{-6}$ ; coupling coefficients of the DRs with open space:  $\tilde{k} = 10^{-7}$ ; mutual coupling coefficients between DRs: for even mode:  $k_{12}^e = -6,5 \cdot 10^{-5}$ ; for odd mode:  $k_{12}^o = 2 \cdot 10^{-5}$ ; dielectric loss Q-factor  $Q^D = 10^5$ . Relative distance between resonators  $\Gamma \Delta z_{1,2} = 31\pi/2$  ( $\Gamma$  is a waveguide wave number).

This paper presents new results of modeling the scattering characteristics of transmission line waves on DRs with WGM built on the basis of previously conducted theoretical studies [16 - 17]. For the first time, S-matrices of notch (Fig. 1)

and different Add/Drop (Fig. 2, 3) filters are investigated taking into account several types of oscillations.

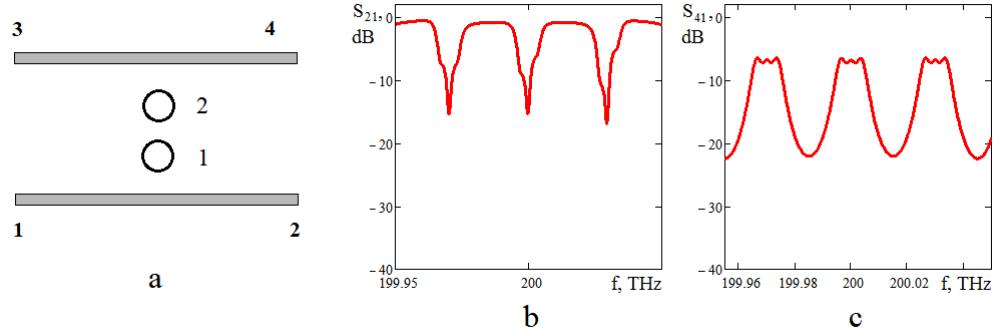


Fig. 2. Scattering characteristics (b - f) of two DR Add/Drop Filter (a) calculated for seven oscillations of degenerate WGM:  $f_0 = 200$  THz;  $FSR = 25$  GHz; DR coupling coefficients with a transmission line: for even mode:  $\tilde{k}_s^e = 2 \cdot 10^{-5}$ ; for odd mode:  $\tilde{k}_s^o = 2 \cdot 10^{-5}$ ; with open space:  $\tilde{k} = 10^{-7}$ ; coupling coefficients between DRs: for even mode:  $k_{12}^e = 4 \cdot 10^{-5}$ ; for odd mode:  $k_{12}^o = -2 \cdot 10^{-6}$ ; dielectric loss Q-factor  $Q^D = 10^6$ .

For the first time showed the possibility of constructing electrodynamics models of filters with periodic scattering characteristics.

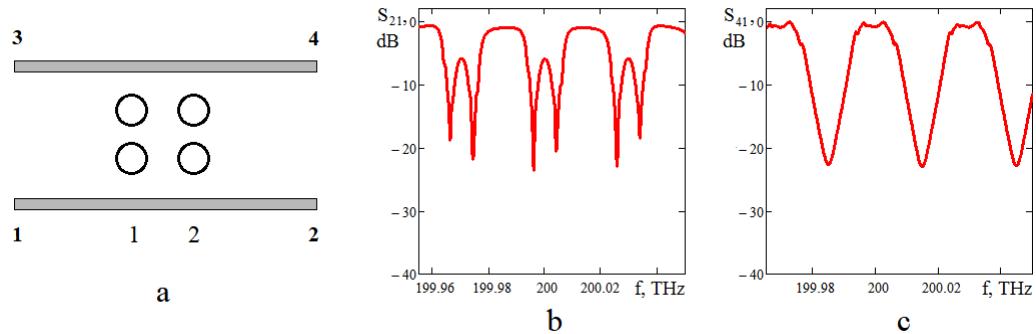


Fig. 3. Scattering characteristics (b - e) of 4 DR Twisted double-channel SCISSOR (a) calculated for seven oscillations of degenerate WGM:  $f_0 = 200$  THz;  $FSR = 30$  GHz; DR coupling coefficients with a transmission line: for even mode:  $\tilde{k}_s^e = 1,2 \cdot 10^{-5}$ ; for odd mode:  $\tilde{k}_s^o = 1,2 \cdot 10^{-5}$ ; coupling coefficients of the DRs with open space:  $\tilde{k} = 10^{-8}$ ; coupling coefficients between DRs: for even mode:  $k_{12}^e = 1 \cdot 10^{-6}$ ; for odd mode:  $k_{12}^o = -1 \cdot 10^{-6}$ ; coupling coefficients between “vertically-coupled” DRs: for even mode:  $k_{12}^{eV} = 6 \cdot 10^{-5}$ ; for odd mode:  $k_{12}^{oV} = -3,5 \cdot 10^{-5}$ ; dielectric loss Q-factor  $Q^D = 10^6$ .

Presented electrodynamics' model allows to significantly accelerate the design and optimization of scattering characteristics of modern optical communication systems using interleavers in technology WDM.

## References

1. S. A. Alboon, J. M. H. Barakat, A. S. Karar. Angle-tuned optical interleaver based on Fabry–Perot cavities with reconfigurable angle range // Results in Optics. 2024. 16. 100722. pp. 1 – 6.
2. N. Saha, G. Brunetti, A. di Toma, M. N. Armenise, C. Ciminelli. Silicon Photonic Filters: A Pathway from Basics to Applications // Review. Adv. Photonics Res. 2024, 2300343. PP. 1 – 44.
3. D. R. Arrieta et al., "Proof-of-Concept Real-Time Implementation of Interleavers for Optical Satellite Links," in Journal of Lightwave Technology, 2023, Vol. 41, No. 12, pp. 3932-3942.
4. Q. Li, H. Zhu, H. Zhang, H. Hu. Electro-optical tunable interleaver in hybrid silicon and lithium niobate thin films // Optics Express. Vol. 31, No. 15 / 17 Jul, 2023, pp. 24203 – 24212.
5. W. Qin, J. Liu, H.-L. Zhang, W.-W. Yang, J.-X. Chen. Bandpass Filter and Diplexer Based on Dual-Mode Dielectric Filled Waveguide Resonators // IEEE Access. V. 10, 2022. PP. 29333 – 29340.
6. A. Wldaa, M. Hoft. Miniaturized Dual-Band Dual-Mode TM-Mode // Dielectric Filter in Planar Configuration // IEEE Jornal of Microwaves. Vol. 2, No. 2, April 2022. PP. 326 – 336.
7. H. Gevorgyan, K. A. Qubaisi, M. S. Dahlem, A. Khilo. Silicon photonic time-wavelength pulse interleaver for photonic analog-to-digital converters // Optics Express. 2016 . Vol. 24, No. 12., pp. 13489 – 13499.
8. C. Chen, X. Niu, C. Han, Z. Shi, X. Wang, X. Sun, F. Wang, Z. Cui, D. Zhang. Reconfigurable optical interleaver modules with tunable wavelength transfer matrix function using polymer photonics lightwave circuits // Optics Express. 2014. Vol. 22, No. 17, pp. 19895 – 19911.
9. D. Dai, J. E. Bowers. Silicon-based on-chip multiplexing technologies and devices for Peta-bit optical interconnects (Review article) // De Gruyter. Nanophotonics 2014; No. 3(4-5), pp. 283– 311.
10. L. Zhuang, W. Beeker, A. Leinse, R. Heideman, P. van Dijk, C. Roeloffzen 1. Novel wideband microwave polarization network using a fully-reconfigurable photonic waveguide interleaver with a two-ring resonatorassisted asymmetric Mach-Zehnder structure // Optics Express. Vol. 21, No. 3, 2013, pp. 3114 – 3124.
- 11.L.W. Luo, S. Ibrahim, A. Nitkowski, Z. Ding, C. B. Poitras, S. J. Ben Yoo, M. Lipson. High bandwidth on-chip silicon photonic interleaver // Optics Express. 2010. Vol. 18, No. 22, pp. 23079 – 23087.
12. S. A. Alboon, A. S. Abu-Abed, R. G. Lindquist, H. R. Al-Zoubi H. R. Al-Zoubi. Novel Liquid Crystal Tunable Flat-Top Optical Interleaver // Progress In Electromagnetics Research B, Vol. 19, 2010, pp. 263 – 283.
13. J. Song, H. Zhao, Q. Fang, S. H. Tao, T. Y. Liow, M. B. Yu1, G. Q. Lo1, D. L. Kwong. Effective thermo-optical enhanced cross-ring resonator MZI interleavers on SOI // Optics Express. Vol. 16, No. 26. 2008, pp. 21476 – 21482.
14. J. Song, Q. Fang, S. H. Tao, M. B. Yu, G. Q. Lo, D. L. Kwong. Proposed silicon wire interleaver structure // Optics Express. 2008. Vol. 16, No. 11. PP. 7849 – 7859.
15. R.M. de Ridder, C.G.H. Roeloffzen, “Interleavers”, in Wavelength Filters for Fibre Optics, ed. by H. Venghaus, Springer Series in Optical Sciences, 2006. Vol. 123, pp. 381- 432.
16. A.A. Trubin. Electrodynamiс modeling of Add-drop filters on optical microresonators // Information and Telecommunication Sciences, V.1, N1, 2019, pp. 30 - 36.
- 17.A.A. Trubin. Scattering of electromagnetic waves by frequency-detuned systems of dielectric resonators // Visnyk NTUU KPI Seriia - Radiotekhnika Radioaparatobuduvannia. 2024. Iss.96. PP. 5 – 13.